



PATENT APPLICATION

Section 9  
15

IN THE U.S. PATENT AND TRADEMARK OFFICE

August 3, 2001

AA

Applicant(s) : Toshiaki MOTONAGA et al.  
For : HALFTONE PHASE SHIFTING PHOTOMASK AND BLANKS FOR  
HALFTONE PHASE SHIFTING PHOTOMASK THEREFOR AND A  
METHOD FOR FORMING PATTERN BY USING THE HALFTONE  
PHASE SHIFTING PHOTOMASK  
Serial No. : 09/825 578 Group: 2622  
Filed : April 3, 2001 Examiner: Unknown  
Atty. Docket No.: OPS Case 529  
Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

RESPONSE

This is a response to the Patent Office communication mailed  
June 4, 2001, a copy of which is enclosed.

(Please see following pages.)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in an  
envelope addressed to: Assistant Commissioner for Patents,  
Washington, DC 20231, on August 3.

Respectfully submitted,

  
Dale H. Thiel

Pursuant to 37 CFR 1.52, 1.53 and/or 1.62, this application was filed without at least one of the filing fee, the English language text and/or the oath or declaration, and was granted the serial number and filing date set forth in the caption of this response. Accordingly, the items listed below are being submitted herewith:

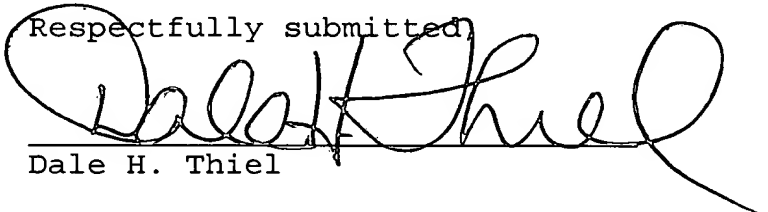
- ☒ Copy of PTO communication mailed June 4, 2001.
- ☒ Declaration or Oath
  - ☒ Attached to the Declaration or Oath is a copy of the specification which was filed in the Patent Office in order to obtain a filing date for this application.
- ☐ Verified English translation of originally-filed foreign language text.
- ☐ Statement(s) re small entity (37 CFR 1.9 and 1.27):
  - ☐ submitted previously
  - ☐ \_\_\_\_\_ Statement(s) submitted herewith
- ☒ Information Disclosure Statement.
- ☒ A check for \$1,876.00 to cover fees.
- ☒ Acknowledgment Postal Card
- ☒ Priority is claimed under 35 USC 119 based on Japanese Application No. 2000-101907, filed April 4, 2000.
  - ☐ A certified copy of the priority application is enclosed.
- ☒ The filing fee is as follows:
  - ☐ Design Application - ☐ \$320.00 (large entity)
    - ☐ \$160.00 (small entity)
  - ☒ Utility Application - as calculated below:

For	No. Filed	No. Extra	(X) LG Entity	RATE	( ) SM Entity	Fee
Basic Fee				\$710.00	\$355.00	\$710.00
Total Claims	(27 - 20 = 7)		x	\$ 18.00	x \$ 9.00	126.00
Indep. Claims	(11 - 3 = 8)		x	\$ 80.00	x \$ 40.00	640.00
<input checked="" type="checkbox"/> Multiple Dep. Claim			+	\$270.00	+ \$135.00	270.00
* * * TOTAL FILING FEE * * *						\$1,746.00

- ☒ The surcharge under 37 CFR 1.16(e) for late filing of the basic filing fee and/or the oath or declaration is as follows:
  - ☒ \$130.00 (large entity)
  - ☐ \$ 65.00 (small entity)

- [ ] The fee under 37 CFR 1.17(i) for filing a foreign language text:
- [ ] was submitted previously.
- [ ] is \$130.00 and is included in an enclosed check.
- [ ] Please charge my Deposit Account No. 06-1382 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.
- [X] Any additional filing fees required under 37 CFR 1.16
- [X] Any patent application processing fees under 37 CFR 1.17
- [ ] The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.
- [ ] Any patent application processing fees under 37 CFR 1.17
- [ ] Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

  
Dale H. Thiel

IN DUPLICATE

DHT/jp

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Encl: Listed above

115.0900



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/825,578	04/03/2001	Toshiaki Motonaga	OPS Case 529

CONFIRMATION NO. 9940

## FORMALITIES LETTER



\*OC000000006141297\*

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Date Mailed: 06/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1036.
  - \$126 for 7 total claims over 20.
  - \$640 for 8 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1876.**

08/07/2001 ETULU1 00000020 09825578

01 FC:101	710.00 OP
02 FC:103	126.00 OP
03 FC:102	640.00 OP
04 FC:104	270.00 OP
05 FC:105	130.00 OP

A copy of this notice **MUST** be returned with the reply.

MTB

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

